Advance Alliance Investment Funds Advance Investment Funds



New Adviser Registration Request

Advance Asset Management Limited ABN 98 002 538 329 AFSL 240902

GUIDE TO COMPLETING THIS FORM

- > Complete this form using black pen print in clear CAPITAL LETTERS.
- > Once completed, follow the instructions on the back page to return your form to Advance.
- Questions? Call Advance Adviser Services on 1800 650 498 or email adviserservices@advance.com.au.

PRIVACY STATEMENT

Why we collect your personal information

We collect personal information from you to process your application to register as a new adviser, ensure you receive product and other important updates, and otherwise manage your relationship with us. We may also use your information to comply with legislative or regulatory requirements in any jurisdiction, prevent fraud, crime or other activity that may cause harm in relation to our products or services and help us run our business.

If you do not provide all the information we request, we may need to reject your application, or we may no longer be able to provide a service to you.

Disclosing your personal information

We may disclose your personal information to other members of the Westpac Group, anyone we engage to do something on our behalf such as a service provider, other organisations that assist us with our business, government agencies, reinsurers and claims investigators.

We may disclose your personal information to an entity which is located outside Australia. Details of the countries where the overseas recipients are likely to be located are in our privacy policy.

Other important information

We are authorised to collect personal information from you by certain laws. Details of these laws are in our privacy policy.

The Advance Privacy Policy is available at **advance.com.au** or by calling **1800 650 498**. The Advance Privacy Policy covers:

- > how you can access the personal information we hold about you and ask for it to be corrected
- > how you may complain about a breach of the Australian Privacy Principles, or a registered privacy code and how we will deal with your complaint
- > how we collect, hold, use and disclose your personal information in more detail

The Advance Privacy Policy may be updated from time to time.

Where you have provided information about another individual, you must make them aware of that fact and the contents of this Privacy Statement.

Definitions

'We', 'our', 'us' means Advance Asset Management Limited.

'Westpac Group' means Westpac Banking Corporation and its related bodies corporate.

1. NEW ADVISER DETAILS		
Title		
Mr Mrs Miss Ms	Other	
Given name(s)		
Surname		
2. NEW ADVISER CONTACT	DETAILS	
Office address		
I	State	Postcode
Country, if not Australia		
Postal address – if different from	above	
	State	Postcode
Country, if not Australia		
Work phone number		
Mobile phone number		
Fax number		
]
Email address		_
Liliali address		



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3. DEALER GROUP DETAILS	7. INVESTOR TRANSFER
Dealer group name	Are investors being transferred to the new adviser?
	No Yes
Please provide the adviser's AFSL Number/ASIC Authorised Representative Number and attach a copy of AFSL.	To complete the investor transfer, Advance requires you to submit a spreadsheet with the client numbers and full names of all investors that are being transferred.
Representative Number and arractive copy of AFSL.	If the transfer is occurring between dealer groups. Advance requires the following information.
4. FEES	> A letter from the old dealer group authorising the release of the investors. We've attached a template for this letter for your convenience. The letter should be provided on dealer group letterhead.
Please select from the following: Please pay my fees directly to my nominated bank account given in Section 5	> A letter from the new dealer group accepting the transfer of the investors. We've also supplied a template for this letter. This letter must be provided on dealer group company letterhead.
OR	
Please pay my fees to the dealer nominated in Section 3	8. ADVISER SIGNATURE
Dealer group no.	Signature of adviser
	Date (dd/mm/yy)
5. EXTERNAL BANK ACCOUNT DETAILS	
Fees will be paid into the following account:	Signatory's full name (please print)
Name of financial institution	
Branch name	Adviser's stamp (please use black ink only)
BSB number Account number	
Account holder's name(s)	
6. TAXATION DETAILS	
Please provide the Australian Business Number (ABN) of the Licensee.	
Fees cannot be paid if an ABN is not supplied. Australian Business Number (ABN)	
Australian pusiness raumper (ADIT)	

SEND THIS COMPLETED FORM TO:

By Post: Advance Asset Management GPO Box B87

Perth WA 6838

By Fax: 02 9274 5211

FOR FURTHER ASSISTANCE CONTACT:

ADVISER SERVICES

FAX 02 9274 5211 **EMAIL ADDRESS**

adviser services @advance.com. au

INTERNET ADDRESS

advance.com.au





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[COMPANY LETTER!	HEAD]
Letter of Authority 1	o Act on Behalf of Dealer Group
Advance Adviser Ser	vices
Please set up [insert	adviser name] as an authorised representative of [insert Dealer Group name].
Signed by [Print name]	
Dealer Group Principal	al
[mserr dare]	



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[COMPANIV	I ETTERHEADÎ	
COMPANY	LETTERHEAD]	
Dealer Grou	p Release of Investors	
	viser Services	
[Insert Deale to [insert ad	er Group name] authorises the release of the investors listed in the attached spreadsheet viser name and adviser code].	
Signed by [Print name]		
Dealer Grou	n Principal	
[Insert date]		



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[COMPANY LETT	ERHEAD]
Dealer Group Acc	eptance of Investors
Advance Adviser S	Services
[Insert Dealer Gro These investors sh	up name] accepts the transfer of the investors listed in the attached spreadsheet. nould be allocated to [insert adviser name].
6: 11	
Signed by [<i>Print name</i>]	
Dealer Group Prin [Insert date]	cipal



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